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Key Points:

- The net radiative effects for the gasoline and diesel sectors over a 20-year time scale are +91.4 and +65.7 mW m⁻², respectively
- Global annual total premature deaths of 115,000 and 122,100 are attributable to the gasoline and diesel sectors
- There exists substantial regional variability of premature death rates for the diesel sector

Supporting Information:

• Supporting Information S1

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Global Climate and Human Health Effects of the Gasoline and Diesel Vehicle Fleets

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Abstract The global gasoline and diesel fuel vehicle fleets impose substantial impacts on air quality, human health, and climate change. Here we quantify the global radiative forcing and human health impacts of the global gasoline and diesel sectors using the NCAR CESM global chemistry-climate model for year 2015 emissions from the IIASA GAINS inventory. Net global radiative effects of short-lived climate forcers (including aerosols, ozone, and methane) from the gasoline and diesel sectors are +13.6 and +9.4 mW m⁻², respectively. The annual mean net aerosol contributions to the net radiative effects of gasoline and diesel are -9.6 ± 2.0 and $+8.8 \pm 5.8$ mW m⁻². Aerosol indirect effects for the gasoline and diesel road vehicle sectors are -16.6 ± 2.1 and -40.6 ± 4.0 mW m⁻². The fractional contributions of short-lived climate forcers to the total global climate impact including carbon dioxide on the 20-year time scale are similar, 14.9% and 14.4% for gasoline and diesel, respectively. Global annual total PM_{2.5}- and ozone-induced premature deaths for gasoline and diesel sectors approach 115,000 (95% CI: 69,000-153,600) and 122,100 (95% CI: 78,500-157,500), with corresponding years of life lost of 2.10 (95% CI: 1.23-2.66) and 2.21 (95% CI: 1.47-2.85) million years. Substantial regional variability of premature death rates is found for the diesel sector when the regional health effects are normalized by the annual total regional vehicle distance traveled. Regional premature death rates for the gasoline and diesel sectors, respectively, vary by a factor of eight and two orders of magnitude, with India showing the highest for both gasoline and diesel sectors.

Plain Language Summary Emissions from the global transportation system play an important role in affecting our air quality, climate change, and public health. We use an advanced chemistry-climate model to estimate the effects of tailpipe emissions from the gasoline and diesel vehicle fuel types on changes in Earth's energy budget, and premature deaths associated with respiratory and cardiovascular diseases. Our results show that the climatic effects of both gasoline and diesel vehicle fleets, including carbon dioxide, are warming. The fractional contributions from short-lived climate forcers (including aerosols, ozone, and methane) relative to the total radiative effects including carbon dioxide for the gasoline and diesel sectors on a 20-year time scale are 14.9% and 14.4%, respectively. Global annual total premature deaths of 115,000 and 122,100 are attributable to the gasoline and diesel sectors. We also analyze the regional premature death rates, which are calculated as ratios of annual total regional premature deaths to annual total regional vehicle distance traveled for each vehicle fuel type. Our study finds that there exists substantial regional variability of premature death rates for the diesel sector, with India showing the highest compared with other regions.

1. Introduction

The global road transportation sector is a major contributor to emissions of both long-lived greenhouse gases (e.g., carbon dioxide, CO₂) and short-lived climate forcers (SLCFs), including aerosols (Rönkkö et al., 2017) and precursors of ozone (O₃). SLCFs affect air quality (Matthes et al., 2007; Niemeier et al., 2006), human health (Anenberg et al., 2017, 2019; Barrett et al., 2015; Hill et al., 2009; Jacobson, 2007; Shindell et al., 2011), the atmospheric oxidation capacity (Ban-Weiss et al., 2008; Hoor et al., 2009), and climate change (Balkanski et al., 2010; Fuglestvedt et al., 2008, 2010; Unger et al., 2009). Reduction of transportation emissions plays a critical role in achieving the 1.5 °C goal of the Paris Agreement (Masson-Delmotte et al., 2018). Therefore, the potential scope of mitigation in this sector needs to be assessed.

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Emitted SLCFs from gasoline vehicles include nonmethane volatile organic compounds (NMVOCs) and carbon monoxide (CO), with important implications for surface O_3 formation (Granier & Brasseur, 2003; Huang et al., 2017) and secondary organic aerosol production (Kanakidou et al., 2005). In contrast, a large amount of black carbon (BC) and nitrogen oxides (NO_x) are emitted from diesel vehicles (Ban-Weiss et al., 2008; Lund et al., 2014). In recent years, it has emerged that diesel vehicles emit 4–7 times more NO_x in real-world driving conditions compared to laboratory approval tests, the so-called "excess NO_x " (Anenberg et al., 2017), leading to concerns for air quality and adverse human health impacts (Anenberg et al., 2019; Holland et al., 2016; Hou et al., 2016). Different regions have diverse penetrations of gasoline and diesel fuels in their on-road vehicle fleets. For instance, most of the light-duty motor vehicles in the United States are fueled by gasoline (Ban-Weiss et al., 2008), while about 40% of the passenger vehicles and most heavy duty trucks and buses in Europe are powered by diesel fuels (Anenberg et al., 2019). The different fuel-type composition of vehicle fleets causes distinctive spatial variability in SLCF emissions, potentially leading to different impacts on surface air quality associated with surface $PM_{2.5}$ (particulate matter with aerodynamic diameter equal to or less than 2.5 micrometers) and O_3 pollution levels.

From a climate impact perspective, the on-road transportation sector has been estimated to have contributed a historical net radiative forcing of $+178 \text{ mW m}^{-2}$ between preindustrial and present day (Fuglestvedt et al., 2008), and has been ranked the most warming economic sector on short time scales (20–30 years) (Unger et al., 2010). For year 2000 emissions, the net radiative forcing of on-road transportation emissions (including CO_2 and SLCFs) has been estimated to be +199 and +477 mW m⁻² for the 20- and 100-year time horizons, respectively (Unger et al., 2010). A previous assessment suggests a net SLCF radiative forcing for the global diesel vehicle fleet of $+28 \text{ mW m}^{-2}$ for year 2010 emissions (Lund et al., 2014). From an air quality perspective, surface $PM_{2.5}$ and O_3 pollution is associated with cardiovascular disease and lung cancer, leading to premature death (Stanaway et al., 2018). Previous studies indicate that on-road transportation sector emissions are a major contributor to elevated surface $PM_{2.5}$ and O_3 concentrations (Granier & Brasseur, 2003; Yan et al., 2011), which are associated with approximately 165,000–385,000 human premature deaths per year (Anenberg et al., 2019; Chambliss et al., 2014; Lelieveld et al., 2015; Silva et al., 2016). Because of the warming BC emissions and excess NO_x , diesel has typically received more attention than gasoline. A comparative assessment of the climate and health impacts of both fuel types is needed.

In this study, we employ a global chemistry-climate model, the NCAR Community Earth System Model (CESM) CAM5-Chem (Community Atmosphere Model version 5.5 coupled with chemistry), to quantify the impacts of the global gasoline and diesel vehicle fleet emissions on air quality, climate, and public health. Section 2 describes the methodology including the calculations of the radiative effects from SLCFs and the premature deaths associated with $PM_{2.5}$ and O_3 from gasoline and diesel emissions. Results and discussion are presented in section 3. We present conclusions in section 4.

2. Methods

2.1. CAM5-Chem Model Simulations

We employ the CAM5-Chem model in CESM version 1.2.2 to investigate the impacts of global gasoline and diesel emissions on air quality, climate, and public health (Emmons et al., 2010; Huang et al., 2018; Lamarque et al., 2012; Tilmes et al., 2015). CAM5-Chem contains a coupled NO_x -VOC-Ozone-Aerosol chemistry scheme, with horizontal resolution of 0.9° latitude by 1.25° longitude and 56 vertical levels from the surface up to about 40 km. Sea surface temperature and sea ice in the model are prescribed, which are from the Climatological/Slab-Ocean Data Model (DOCN) and Climatological Ice Model (DICE), respectively. CAM5-Chem is driven by offline GEOS-5 (Goddard Earth Observing System model version 5) meteorological fields.

Global spatially gridded anthropogenic emissions are from the International Institute for Applied Systems Analysis (IIASA) Greenhouse Gas-Air Pollution Interactions and Synergies (GAINS) ECLIPSE V5a (Evaluating the Climate and Air Quality Impacts of Short-lived Pollutants version 5a) inventory for the year 2015 (Amann et al., 2011, 2013; Klimont et al., 2017; Stohl et al., 2015). The global and regional annual anthropogenic emission budgets for the year 2015 IIASA GAINS ECLIPSE V5a inventory and the contributions from the on-road gasoline and diesel sectors are shown in Table 1. For each fuel type, it includes

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Table 1Annual Global and Regional Anthropogenic Emissions of Species From ECLIPSE V5a Inventory and Separately From Gasoline and Diesel Sectors in ECLIPSE V5a for the Year 2015 (Units: kt species year ⁻¹)

	ECLIPSE V5a				Gasoline				Diesel						
Specie	Global	USA	Europe	China	India	Global	USA	Europe	China	India	Global	USA	Europe	China	India
ВС	6,757	184.6	286.7	1,662	1,514	148.5	19.9	1.26	41.3	6.99	952.7	37.7	65.5	148.5	114.8
POM	12,378	307.4	414.6	2,867	2,795	316.3	25.2	4	58	20.8	467.8	24	79	124	79
SO_2	90,795	6,283	3,650	23,602	12,433	238.4	8.29	1.73	14.1	25.3	372.3	2.18	4.37	27.2	84
NO_x	114,113	11,489	7,543	22,792	8,600	8,780	1,657	214	1,148	247	22,772	2,041	3,088	4,269	2,354
NMVOCs	108,840	8,627	6,648	24,367	13,039	22,054	3,440	695	3,104	1,150	1,690	180	126	289	175
CO	524,738	34,071	20,062	166,291	70,545	115,955	17,073	3,641	16,693	5,528	16,435	1,965	736.6	3,659	1,814
NH_3	57,902	3,796	3,920	15,253	10,978	475.2	126.2	56	70.8	13	21.6	1.81	13.1	1.02	0.59
CH ₄	330,991	23,554	17,845	55,909	41,168	1,184	266	33.1	145.6	55.4	333.5	72.6	10.6	49	24.5

emissions from all light- and heavy-duty vehicle types, such as cars, vans, trucks, and buses. The model configuration of this study is identical to Huang et al. (2018) that provided an evaluation of model performance for BC, organic aerosols, and aerosol optical depth against multiple observational data sets. This study applies the 3-mode modal aerosol module to represent microphysical process of aerosols (Liu et al., 2012).

A control simulation is performed with the anthropogenic emission inventory from ECLIPSE V5a along with two sensitivity simulations in which the gasoline and diesel road vehicle emissions are removed, respectively. All simulations are run for 6 years with the first year discarded as spin up and the last five years of model output data averaged for analysis. The contributions of the gasoline and diesel sectors to surface air quality and radiative forcing are then determined by taking the difference between the control and relevant sensitivity simulation.

2.2. SLCF Radiative Forcing Calculations

The aerosol radiative effects are calculated online in CAM5-Chem using the Rapid Radiative Transfer Model (Iacono et al., 2008). Aerosol radiative effects include the direct and indirect radiative effects as well as the surface albedo effect (Ghan, 2013; Ghan et al., 2012). Indirect radiative effect comprises of the first (albedo), second (lifetime), and the semidirect effects. The net impacts of the short-lived emission precursors (CO, NMVOCs, and NO_x) on O_3 and CH_4 radiative forcing, including direct emissions, indirect effects on chemical production and lifetime, and stratospheric water vapor, are assessed using the 20-year time horizon global warming potential climate policy metrics from the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC; Myhre et al., 2013) and Collins et al. (2013).

2.3. PM_{2.5}- and O₃-Induced Premature Deaths Calculation

 $PM_{2.5}$ -induced premature deaths are estimated following previous studies (Anenberg et al., 2017; Apte et al., 2015), with integrated exposure responses data set using the Global Burden of Disease 2017 study (Stanaway et al., 2018, hereafter referred to as GBD2017). We calculate health endpoints for diseases including children's (<5 years) acute lower respiratory infection (ALRI); adult (>25 years) chronic obstructive pulmonary disease (COPD), lung cancer (LC), ischemic heart disease (IHD), and stroke. The premature deaths are calculated as a function of relative risk (RR), population density (POP), and the baseline mortality rate (BMR). For each model grid cell (i, j) and health endpoint (h), RR is given as

$$RR_{i,j,h} = 1 + \alpha \left[1 - \exp\left(-\gamma \left(C_{i,j} - C_0\right)^{\beta}\right) \right],\tag{1}$$

where $C_{i,j}$ is the annual mean surface PM_{2.5} concentrations in the grid cell with longitude i and latitude j; C_0 is the threshold PM_{2.5} concentrations, in our study assumed as 5.8 μ g m⁻³ (if $C_{i,j}$ is equal to or less than 5.8 μ g m⁻³, then $RR_{i,j} = 1$), by following Burnett et al. (2014); α , γ , and β are model parameters as a result of statistical fitting in determining concentration-response functions for each health endpoint (Burnett et al., 2014; Morita et al., 2014). For RR in ALRI, we follow Apte et al. (2015) to calculate the RR in each grid box in response to different PM_{2.5} concentrations.

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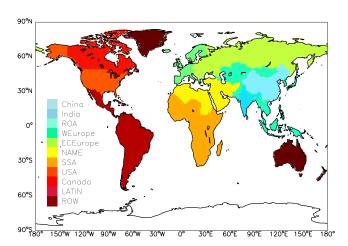


Figure 1. Map of 11 defined regions over continents in our study. ROA = rest of Asia; WEurope = western Europe; ECEurope = eastern and central Europe; NAME = northern Africa and the Middle East; SSA = sub-Saharan Africa; LATIN = Latin America; ROW = rest of the world.

For the impacts of COPD from O_3 , we first follow Jerrett et al. (2009) (hereafter referred to as J2009) to calculate RR, which increases by 4% (95% confidence interval (CI): 1.3%–6.7%) per 10 ppb increases in average daily 1-hr maximum O_3 concentrations during April–September. For each grid cell (i, j), RR is calculated as

$$RR_{i,i} = exp^{\left(\eta Y_{i,i}\right)},\tag{2}$$

where η is the log-linear slope between O_3 concentrations and RR, with mean value of 0.00392 (95% CI: 0.00129–0.00649). $Y_{i,j}$ is the average daily 1-hr maximum O_3 concentration during April–September in the grid cell with longitude i and latitude j. The minimum and maximum of daily 1-hr maximum O_3 concentrations measured during the long-term cohort studies from J2009 were 33.3 and 104 ppb, respectively. Therefore, we limited the range of $Y_{i,j}$ to 33.3–104 ppb to calculate $RR_{i,j}$ associated with COPD (if $Y_{i,j}$ is less than or equal to 33.3 ppb, $RR_{i,j}=1$). If the modeled daily 1-hr maximum O_3 concentrations averaged over April–September were greater than 104 ppb, we constrained them as 104 ppb.

In addition, we follow Turner et al. (2016) (hereafter referred to as T2016)

for the updated RR from the American Cancer Society Cancer Prevention Study II to calculate O_3 -induced COPD impacts, with RR shown to increase by 14% (95% CI: 8%–21%) per 10 ppbv increase in annual-mean daily maximum 8-hr O_3 concentrations after adjusting confounding factors of $PM_{2.5}$ and nitrogen dioxides. Compared with J2009, the minimum O_3 concentration impacts on COPD in T2016 is lower, which is shown to be 26.6 ppb.

The formula for premature mortality in each grid cell (i, j) and health endpoint (h) is demonstrated as

$$M_{i,j,h} = POP_{i,j} \times BMR_{i,j,h} \times \left[\binom{RR_{i,j,h}-1}{RR_{i,j,h}} \right], \tag{3}$$

where *POP* is the population density in each grid cell and *BMR* is the baseline mortality rate, which is estimated following the GBD2017 study.

Years of life lost (YLL) for each health endpoint (h) at each grid cell (i, j) are calculated as

$$YLL_{i,j,h} = M_{i,j,h} \times MYLL_{i,j,h}, \tag{4}$$

where $MYLL_{i,j,h}$ is the mean YLL in each health endpoint attributable to all causes from the GBD2017 study.

We estimate the premature mortality and YLL at the horizontal grid resolution of $0.1^{\circ} \times 0.1^{\circ}$. Gridded PM_{2.5} and O₃ concentrations are regridded from $0.9^{\circ} \times 1.25^{\circ}$ to $0.1^{\circ} \times 0.1^{\circ}$. Gridded Population of the World version 4 (GPWv4) is used as the global population density for the year 2015 (Center for International Earth Science Information Network - CIESIN - Columbia University, 2016). We divide the geography of the world into 11 regions in order to compare premature deaths in response to different levels of exposure to PM_{2.5} and O₃. The regions analyzed are China, India, rest of Asia (ROA), eastern and central Europe (ECEurope), western Europe (WEurope), northern Africa and the Middle East (NAME), sub-Saharan Africa (SSA), the United States of America (USA), Latin America (LATIN), Canada, and rest of the world (ROW), as shown in Figure 1. For each region, we calculate different BMRs following GBD2017. The health impacts of the five health endpoints are summed to give the total health burden. Uncertainty ranges of premature deaths are from the uncertainty range of RR (2.5%, 50%, and 97.5% CI of RR).

3. Results and Discussion

3.1. Air Quality Impacts of Global Gasoline and Diesel Emissions

The global gasoline vehicle fleet emits substantial amounts of NMVOCs and CO, with global annual totals of 22,054 and 115,955 kilotons (kt), respectively, for the year 2015 (Table 1), representing ~20% of global total

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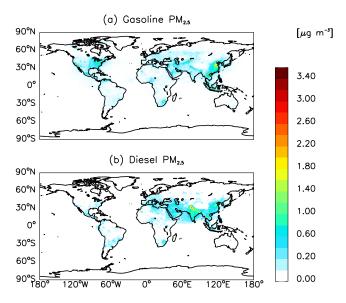


Figure 2. Global annual mean surface PM_{2.5} concentrations for (a) gasoline and (b) diesel vehicle fleet emission sectors

anthropogenic sources of these two pollutants (Table 1). The dominant source regions for NMVOCs and CO are the USA, China, and India (Table 1). In contrast, the global diesel vehicle fleet contributes substantially to BC and NO_x emissions, with annual global totals of 952.7 and 22,772 kt for the year 2015, accounting for approximately 14% and 20% of the global total anthropogenic sources, respectively (Table 1).

Global gasoline and diesel emissions lead to increases in annual-mean surface PM_{2.5} concentrations, by up to 6.0 and 3.0 μ g m⁻³, respectively (Figure 2). For gasoline, large increases in surface PM_{2.5} concentrations are found over China, Southeast Asia, and North America (Figure 2a). India, China, and the Middle East are regions associated with substantial increases in surface PM_{2.5} concentrations for the diesel sector (Figure 2b). In terms of surface O₃, increases in annual-mean surface O₃ concentrations attributable to gasoline and diesel sectors are up to 8.5 and 6.7 ppby, respectively (Figure 3). The former is primarily driven by large amounts of NMVOCs and CO emissions (Table 1), with maximum impacts located over Venezuela and southeastern Asia, followed by the Middle East and USA (Figure 3a). For the latter, model simulations for surface O₃ concentrations show stronger sensitivity to precursor emissions globally relative to the gasoline sector, which is mostly due to substantial NO_x emissions

from the diesel sector. Annual-mean surface O3 concentrations for the diesel sector show substantial spatial variability compared with the gasoline sector (Figure 3b). Specifically, the global annual mean surface O₃ concentration from the diesel sector is ~39% higher than that from the gasoline sector. Interestingly, diesel sector emissions cause decreases in surface O₃ concentrations over the North China Plain by up to 2.5 ppbv, likely a result of VOC-limited O₃ production in this intensely polluted region (Chou et al., 2009; Wang et al., 2017; Xing et al., 2011).

3.2. Global Climate Impacts of Gasoline and Diesel Vehicle Fleet Sectors

Figure 4 shows global annual mean radiative effects for gasoline and diesel emissions, including aerosol direct radiative effect (DRE), aerosol indirect effect (AIE), aerosol surface albedo effect (SAE), the combined radiative effects of O₃ and CH₄, and the CO₂ radiative effect on a 20-year time scale (integrated radiative forcing for the sustained year 2015 emissions). DRE from the diesel sector shows strong positive global warming,

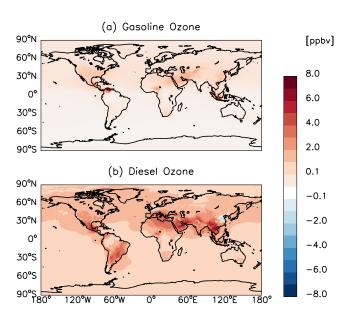


Figure 3. Same as Figure 2, but for surface O₃.

with global annual mean radiative effect of $+35.8 \pm 0.4$ (1 σ) mW m⁻² (Figure 4), which is comparable to the results (+35.4 mW m⁻²) found in Lund et al. (2014). By contrast, the sign of the DRE from gasoline aerosol emissions is negative (cooling) and small: -0.84 ± 0.15 mW m⁻² (Figure 4). The large difference in the DRE between the gasoline and diesel sectors is because the global annual BC emissions from diesel (952.7 kt year⁻¹) are over a factor of six times higher than those from gasoline (148.5 kt year⁻¹). Furthermore, CAM5-Chem assumes strong enhanced BC absorption of solar radiation once coated with soluble species (Huang et al., 2018). The gasoline and diesel sectors are both cooling through the AIE, with global annual mean values of -16.6 ± 2.1 and $-40.6 \pm 4.0 \text{ mW m}^{-2}$, respectively (Figure 4). The cooling effect of the AIE from the gasoline sector is primarily driven by the negative longwave (LW) radiation effect of $-22.0 \pm 2.0 \text{ mW m}^{-2}$, which is partially offset by the positive shortwave (SW) AIE of $+5.4 \pm 1.8 \text{ mW m}^{-2}$ (Table 2). The negative LW and positive SW AIE radiative effects for the gasoline sector can be explained by the strong LW cooling and SW warming effects found over the northern India Ocean (supporting information Figure S1), which is mainly driven by the reductions in deep convective transport of moisture from the surface to the upper troposphere and lower stratosphere (UTLS, Huang et al., 2018), thus leading to decreases in ice cloud fractions over

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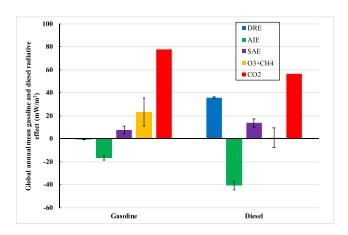


Figure 4. Global annual mean radiative effects for gasoline and diesel emissions, including aerosol direct radiative effect (blue), aerosol indirect effect (green), aerosol surface albedo effect (purple), $\rm O_3 + CH_4$ (orange), and $\rm CO_2$ (red). Note that the radiative forcing for $\rm CO_2$ is calculated on a 20-year time horizon. Error bars represent 1 standard deviation.

UTLS in this region (Figure S2). This resulted in strong LW cooling and SW warming effects associated with the gasoline sector. In contrast, the SW AIE for the diesel sector ($-47.0 \pm 5.4 \text{ mW m}^{-2}$) dominates the net AIE effect, although a small warming effect from the LW AIE is found $(+6.4 \pm 2.1 \text{ mW m}^{-2}, \text{Table 2}, \text{Figure S3})$. There are two main reasons contributing to this effect. First, the diesel sector has much higher emissions of BC and POM aerosols, compared with the gasoline sector (Table 1), which increase the cloud droplet number concentrations and cloud liquid water path. As a result, it ends up higher liquid cloud fractions (Figure S4) and thus stronger SW cooling effect for the diesel sector, relative to the gasoline sector. Second, higher BC emissions from the diesel sector considerably enhance the absorption of solar radiation, which strengthens the deep convection by transporting more water vapor from the lower troposphere to UTLS for the formation of ice clouds (Figure S5), leading to globally averaged positive LW radiative effect for the diesel sector (Figure S3). Global annual mean SAEs for the gasoline and diesel sectors are $\pm 7.8 \pm$ 3.4 and $+13.6 \pm 3.6$ mW m⁻², respectively, contributing additional warming. This is mainly due to the deposition of BC aerosols on the surface of snow and sea ice.

The combined radiative forcing of CH_4 and O_3 for the gasoline sector shows substantial overall warming ($\pm 23.2 \pm 12.0 \text{ mW m}^{-2}$) through both CH_4 and O_3 driven by the impacts of CO and NMVOCs (Figure 4 and Table 2). For diesel, the net forcing is almost negligible ($\pm 0.64 \pm 8.5 \text{ mW m}^{-2}$) because NO_x -driven O_3 warming is offset by the NO_x -driven CH_4 cooling. In comparison, Lund et al. (2014) found that the combined radiative forcing of CH_4 and O_3 in the diesel sector for the year 2010 was of opposite sign, -9 mW m^{-2} . We calculate the short-term (20-year time scale) and long-term (100-year time scale) CO_2 radiative forcing for the gasoline and diesel sectors using IPCC climate policy metrics (Myhre et al., 2013). The radiative forcing of CO_2 is calculated as a function of CO_2 absolute global warming potential (V m $^{-2}$ year (V gear (V gear V gear V gear (V gear V gear (V gear V gear (V gear V gear V gear V gear V gear (V gear V gear

Thus, the net total radiative effects of the global gasoline and diesel on-road vehicle fleets for year 2015 emissions on the 20-year time scale (including $\rm CO_2$ and $\rm SLCFs$) are +91.4 (gasoline) and +65.7 mW m⁻² (diesel). The combined net radiative forcing for both fuel types is +157.1 mW m⁻², which is consistent with previous studies (Unger et al., 2009, 2010). The fractional contributions of SLCF forcings for the gasoline and diesel sectors relative to the total radiative forcing on a 20-year time scale are similar: approximately 14.9% and 14.4%, respectively.

3.3. PM_{2.5}- and O₃-Induced Premature Deaths of Gasoline and Diesel Emissions

Global PM_{2.5}- and O₃-induced premature deaths exceed four million people for five health endpoints calculated in our study, which is consistent with previous studies (Anenberg et al., 2017; Lelieveld et al., 2015,

 Table 2

 Summary of the Global Radiative Effects of the Gasoline and Diesel Road Vehicle Fleets

Sector	DRE	AIE (SW + LW)	SW AIE	LW AIE	Surface albedo	$O_3 + CH_4$	20-year CO ₂	100-year CO ₂
Gasoline	-0.84 ± 0.15	-16.6 ± 2.1	$+5.4 \pm 1.8$	-22.0 ± 2.0	$+7.8 \pm 3.4$	$+23.2 \pm 12.0$	+77.9	+286.8
Diesel	$+35.8 \pm 0.4$	-40.6 ± 4.0	-47.0 ± 5.4	$+6.4 \pm 2.1$	$+13.6 \pm 3.6$	$+0.64 \pm 8.5$	+56.3	+207.2

Note. Error bars represent 1 standard deviation. Units: mW m⁻².

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Table 3
Global PM _{2.5} - and O ₃ -Induced Premature Deaths

Cases	Species	Premature deaths (×1,000 persons)	YLL (×10 ⁶ year)
CONTROL	PM _{2.5}	3,581 (1,854, 4,865)	75.0 (42.3, 99.9)
	Ozone	361.2 (125.8, 566.5)	5.58 (1.94, 8.76)
	Ozone ^b	1,042.1 (677.6, 1,365.8)	16.4 (10.7, 21.5)
Gasoline (CONTROL – Gasoline removed)	PM _{2.5}	65.3 (33.4, 94.9)	1.24 (0.68, 1.77)
	Ozone ^a	21.1 (7.69, 31.7)	0.32 (0.12, 0.48)
	Ozone ^b	49.7 (35.6, 58.7)	0.76 (0.54, 0.90)
Diesel (CONTROL - Diesel removed)	PM _{2.5}	46.9 (25.4, 67.7)	1.00 (0.61, 1.41)
	Ozone ^a	42.2 (15.2, 64.2)	0.66 (0.24, 1.00)
	Ozone ^b	75.2 (53.1, 89.8)	1.20 (0.85, 1.44)

Note. Parenthesis is the uncertainty range, which is solely based on the uncertainty of relative risk. YLL = years of life lost. ^aMethod follows J2009.
^bMethod follows T2016.

2019), with global total YLL approaching 80 million years (Table 3). As mentioned earlier, we have followed J2009 and T2016 methods to quantify O_3 -induced COPD separately. When using J2009, global total $PM_{2.5}$ - and O_3 -induced premature deaths associated with the gasoline and diesel sectors for the year 2015 are 86,400 (95% CI: 41,000–126,000) and 89,100 (95% CI: 40,600–128,900), respectively, accounting for about 2.2% and 2.3% of the baseline. The fractional contributions of $PM_{2.5}$ - versus O_3 -induced premature deaths relative to the totals are approximately 75.6% and 24.4% for gasoline and 52.8% and 47.2% for diesel (Table 3). In terms of YLL, annual total YLL associated with $PM_{2.5}$ - and O_3 -induced premature deaths for the gasoline and diesel sectors are quite comparable: 1.56 (95% CI: 0.80–2.25) and 1.66 (95% CI: 0.85–2.41) million years, respectively.

With the updated RR associated with O₃ impacts on COPD following T2016, global annual O₃-induced premature deaths increase by 136% and 78% for the gasoline and diesel sectors relative to the case using J2009, which results in increases of 33% and 37% for the annual total combined PM_{2.5}- and O₃-induced premature deaths relative to J2009 for the gasoline and diesel sectors, respectively. YLL increases by 0.44 and 0.54 million years for the gasoline and diesel sectors when using updated RR associated with O₃-induced COPD, compared with J2009. The combined PM_{2.5}- and O₃-induced premature deaths (YLL) from the diesel sector for the year 2015 with T2016 are ~6% (10%) higher than those from global gasoline impacts (Table 3). Gasoline emissions in Asia (including China, India, and other Asian regions), USA, and eastern and central Europe are the largest contributors (Table 4), with combined premature deaths from PM_{2.5} and O₃ accounting for ~83% relative to the global total. Interestingly, global on-road diesel fuel usage is mainly concentrated in Asia and Europe, with premature death contributions of 71% and 12.5% relative to the global sum. In particular, premature deaths from the diesel sector in India and western Europe are both higher than the impacts from the gasoline sector due to the high penetration of diesel vehicles in these two regions (Figures 5a and 5b). We acknowledge that the relatively coarse spatial resolution of our model simulation (0.9 $^{\circ}$ latitude \times 1.25° longitude) is a major source of uncertainty associated with the quantification of premature deaths for the gasoline and diesel sectors. However, the spatial resolution of the model that we apply in our study is typically available for the current generation of global chemistry-climate models (Turnock et al., 2020).

3.4. Normalization of Health Effects by Vehicle Distance Traveled

Global total vehicle distance traveled for the gasoline sector in 2015 was about 1.55×10^{13} km, which is about 2.6 times higher than that from the diesel sector (Table 5). Gasoline vehicles in the USA account for the largest contribution (31.2%) relative to the global total distances of gasoline vehicles traveled, followed by ROA (17.0%) and China (16.5%). Distance traveled by diesel vehicles from western Europe accounts for approximately 39.9% of the global total distances traveled by diesel vehicles, followed by ROA (15.5%) and ECEurope (8.9%). Thus, half of the annual total distance traveled by diesel vehicles was in Europe alone.

We normalize the regional total premature deaths from PM_{2.5} and O₃ by vehicle distance traveled for each fuel type in each region (Table 5) to provide a premature death rate metric in units of deaths per kilometer. Following J2009, global mean diesel premature death rate is 15.2×10^{-9} deaths km⁻¹, which is ~2.7 times higher than that from the gasoline sector (Figure 5c). For the gasoline sector,

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Table 4 $PM_{2.5^-}$ and O_3 -Induced Premature Deaths ($\times 1,000$) for Each Region and Case

Region		CONTROL	Gasoline	Diesel
China	PM _{2.5}	1,262 (575.5, 1,782)	18.7 (9.42, 26.5)	10.0 (5.16, 14.0)
	Ozone ^a	156.4 (55.2, 242.4)	8.09 (3.04, 11.8)	12.5 (4.64, 18.3)
	Ozone ^b	376.0 (246.7, 487.9)	17.9 (13.2, 20.2)	14.0 (10.2, 16.1)
India	$PM_{2.5}$	826.9 (455.3, 1,191)	3.47 (2.16, 5.13)	11.1 (6.83, 16.6)
	Ozone ^a	96.7 (33.5, 152.5)	3.62 (1.31, 5.45)	12.5 (4.48, 19.0)
	Ozone ^b	338.8 (223.0, 438.6)	9.23 (6.90, 10.3)	27.6 (20.1, 31.8)
ROA	$PM_{2.5}$	599.4 (302.8, 819.3)	15.2 (7.32, 23.3)	10.3 (5.17, 15.5)
	Ozone ^a	35.9 (12.4, 56.9)	3.83 (1.36, 5.88)	5.87 (2.08, 9.07)
	Ozone ^b	122.6 (78.9, 162.5)	9.39 (6.51, 11.4)	14.0 (9.68, 17.1)
NAME	$PM_{2.5}$	238.5 (137.1, 329.6)	1.92 (1.07, 3.24)	2.32 (1.28, 3.91)
	Ozone ^a	9.72 (3.36, 15.4)	0.74 (0.27, 1.13)	1.34 (0.48, 2.03)
	Ozone ^b	27.1 (17.4, 36.0)	1.64 (1.16, 1.96)	2.42 (1.69, 2.90)
SSA	PM _{2.5}	205.2 (123.3, 264.8)	1.09 (0.70, 1.51)	0.85 (0.55, 1.18)
	Ozone ^a	3.60 (1.22, 5.83)	0.50 (0.17, 0.81)	0.54 (0.18, 0.86)
	Ozone ^b	17.3 (10.6, 23.9)	1.59 (1.02, 2.10)	1.68 (1.08, 2.22)
ECEurope	PM _{2.5}	198.1 (123.9, 204.4)	7.41 (3.79, 10.1)	4.28 (2.16, 6.08)
	Ozone ^a	8.56 (2.92, 13.7)	0.47 (0.16, 0.72)	1.38 (0.49, 2.15)
	Ozone ^b	21.8 (13.6, 29.8)	1.16 (0.77, 1.49)	1.91 (1.26, 2.44)
USA	$PM_{2.5}$	101.5 (54.6, 126.0)	9.42 (4.58, 14.4)	1.43 (0.69, 2.24)
	Ozone ^a	22.3 (7.73, 35.1)	1.93 (0.70, 2.90)	2.17 (0.79, 3.26)
	Ozone ^b	54.3 (34.6, 72.4)	3.62 (2.51, 4.39)	3.18 (2.21, 3.85)
LATIN	PM _{2.5}	74.4 (41.7, 86.2)	3.92 (2.01, 5.59)	2.64 (1.36, 3.69)
	Ozone ^a	6.07 (2.05, 9.78)	0.89 (0.31, 1.42)	1.73 (0.59, 2.76)
	Ozone ^b	25.5 (15.9, 34.8)	2.59 (1.69, 3.39)	4.87 (3.15, 6.39)
WEurope	PM _{2.5}	57.7 (34.7, 59.0)	3.81 (2.07, 4.46)	3.91 (2.15, 4.39)
	Ozone ^a	20.5 (7.01, 32.7)	0.91 (0.32, 1.41)	4.16 (1.47, 6.43)
	Ozone ^b	54.3 (34.1, 73.8)	2.35 (1.58, 2.97)	5.22 (3.50, 6.56)
Canada	PM _{2.5}	5.95 (4.17, 6.59)	0.35 (0.16, 0.55)	0.09 (0.04, 0.13)
	Ozone ^a	1.24 (0.42, 1.97)	0.11 (0.04, 0.17)	0.13 (0.05, 0.21)
	Ozone ^b	3.42 (2.15, 4.66)	0.22 (0.15, 0.28)	0.21 (0.14, 0.26)
ROW	$PM_{2.5}$	3.39 (1.94, 3.47)	0.08 (0.04, 0.11)	0.04 (0.02, 0.06)
	Ozone ^a	0.14 (0.05, 0.22)	0.02 (0.006, 0.03)	0.03 (0.01, 0.05)
	Ozone ^b	1.03 (0.62, 1.45)	0.08 (0.05, 0.11)	0.14 (0.08, 0.19)

Note. Parenthesis is the uncertainty range, which is solely based on the uncertainty of relative risk.

regional premature death rates vary by a factor of eight, ranging from 1.43×10^{-9} deaths km⁻¹ in Canada to 1.17×10^{-8} deaths km⁻¹ in India. In contrast, substantial regional variabilities of premature death rates associated with the diesel sector are found, the variability of which can be up to two orders of magnitude (Figure 5c), with the lowest in ROW (0.81×10^{-9} deaths km⁻¹) and the highest in India $(8.7 \times 10^{-8} \text{ deaths km}^{-1})$. The highest regional premature death rates for both the gasoline and diesel sectors are found in India due to a combination of dense regional population for exposure to PM2.5 and O₃, relatively low annual total vehicle distance traveled as well as higher tailpipe emissions associated with adopted lower fuel quality for each fuel type in India (Miller et al., 2017). When using T2016 to calculate O3 impacts on COPD, updated global average premature death rates for the diesel and gasoline sectors increase by 36.2% and 33.9%, respectively, compared with the case using J2009. This result is because global O₃-induced premature deaths for COPD using T2016 are about two times higher than the case using J2009 (section 3.3), leading to increases in all regional premature death rates for both sectors, especially for the diesel sector where O₃-induced premature deaths are quite comparable to PM_{2.5}-induced premature deaths using J2009 (Table 3). Therefore, regional variability of total PM_{2.5}and O3-induced premature death rates for the gasoline and diesel sectors between the J2009 and the T2016 case is quite low (Figures 5c and 5d). We acknowledge that we don't quantify the uncertainties associated with emission inventories and vehicle distance traveled for gasoline and diesel sectors in this study, which merits further investigations in the future.

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^aMethod follows J2009. ^bMethod follows T2016.



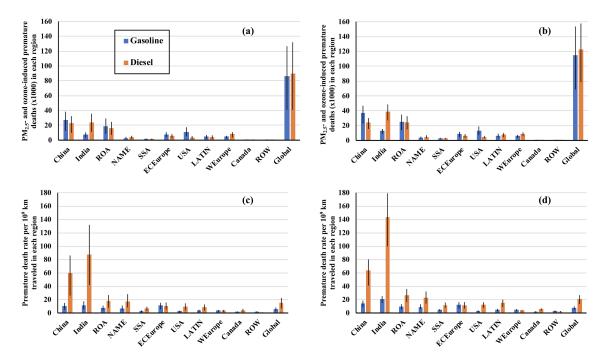


Figure 5. PM_{2.5}- and O₃-induced annual-mean premature deaths (a, b) and premature death rate per 10⁹ km traveled (c, d) from emissions of gasoline (blue) and diesel (orange) for 11 different regions, with O3 impacts on COPD following J2009 shown in (a, c) and T2016 shown in (b, d), respectively. The regional premature death rate is calculated as the regional total premature deaths divided by the total annual regional distances traveled for each fuel type. Error bars represent 95% confidence intervals, which is solely based on the uncertainty range of relative risk.

3.5. Comparisons to Previous Studies

In this study, the combined PM_{2.5}- and O₃-induced premature deaths for the year 2015 from the on-road gasoline and diesel subsectors are up to 237,000 (95% CI: 147,000-311,000), which is comparable to the recent study by Anenberg et al. (2019), who reported that on-road diesel and nondiesel emissions caused about 246,000 premature deaths associated with PM_{2.5} and O₃. Differences in the results across studies are by application of different year 2015 emission inventories, the IIASA GAINS ECLIPSE V5a inventory is used

Table 5	
Vehicle Distance Traveled and Premature Death Rate per 10 ⁹ km in Each Region for Each Fuel Type	

Region	Gasoline vehicle distance traveled(10 ⁹ km)	Diesel vehicle distance traveled(10 ⁹ km)	Gasoline premature mortality rate (10 ⁻⁹ deaths km ⁻¹) ^a	Gasoline premature mortality rate $(10^{-9} \text{ deaths km}^{-1})^b$	Diesel premature mortality rate $(10^{-9} \text{ deaths km}^{-1})^a$	Diesel premature mortality rate $(10^{-9} \text{ deaths km}^{-1})^b$
China	2,556.7	375.4	10.5 (4.87, 15.0)	14.3 (8.85, 18.3)	59.9 (26.1, 86.0)	63.9 (40.9, 80.2)
India	608.0	270.1	11.7 (5.71, 17.4)	20.9 (14.9, 25.4)	87.4 (41.9, 131.8)	143.3 (99.7, 179.2)
ROA	2,633.1	910.7	7.23 (3.30, 11.1)	9.34 (5.25, 13.2)	17.8 (7.96, 27.0)	26.7 (16.3, 35.8)
NAME	401.6	211.6	6.62 (3.34, 10.9)	8.87 (5.55, 12.9)	17.3 (8.32, 28.1)	22.4 (14.0, 32.2)
SSA	622.7	217.3	2.55 (1.40, 3.73)	4.30 (2.76, 5.80)	6.40 (3.36, 9.39)	11.6 (7.50, 15.6)
ECEurope	711.8	525.1	11.1 (5.55, 15.2)	12.0 (6.41, 16.3)	10.8 (5.05, 15.7)	11.8 (6.51, 16.2)
USA	4,838.5	381.5	2.35 (1.09, 3.58)	2.70 (1.47, 3.88)	9.44 (3.88, 14.4)	12.1 (7.60, 16.0)
LATIN	1,454.9	505.3	3.31 (1.59, 4.82)	4.47 (2.54, 6.17)	8.65 (3.86, 12.8)	14.9 (8.93, 19.9)
WEurope	1,310.2	2,353.6	3.60 (1.82, 4.48)	4.70 (2.79, 5.67)	3.43 (1.54, 4.60)	3.88 (2.40, 4.65)
Canada	321.5	55.8	1.43 (0.62, 2.24)	1.77 (0.96, 2.58)	3.94 (1.61, 6.10)	5.38 (3.23, 6.99)
ROW	60.5	86.6	1.65 (0.76, 2.31)	2.64 (1.49, 3.64)	0.81 (0.35, 1.27)	2.08 (1.15, 2.89)
Global	1,5519.4	5892.9	5.57 (2.64, 8.16)	7.42 (4.44, 9.89)	15.2 (6.90, 21.4)	20.7 (13.3, 26.7)

Note. Parenthesis is the uncertainty range. ^aMethod follows J2009. ^bMethod follows T2016.

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here versus the International Council on Clean Transportation (Miller & Jin, 2018). Moreover, Anenberg et al. (2019) assess "nondiesel" emissions as gasoline but also other fuels such as liquefied petroleum gas and compressed natural gas. Several other studies have quantified health effects from the global transportation sector. For example, Chambliss et al. (2014) found that emissions from the surface transportation sector resulted in approximately 242,000 premature deaths attributable to surface PM2.5 pollution for the year 2005. Their estimate includes on-road and off-road transportation emissions for all fuel sources. Silva et al. (2016) estimated that PM_{2.5}- and O₃-induced premature deaths from the entire global land transportation sector in year 2010 were about 376,000 for the year 2005, about 59% higher than here for the on-road diesel and gasoline subsectors in year 2015. A likely source of the discrepancy aside from the sector definition is the different baseline mortality rates associated with each disease for different years (GBD2017 for the year 2015 in our study versus GBD2010 for the year 2005 in Silva et al., 2016). Furthermore, the model applied in this study, CAM5-Chem, does not explicitly account for nitrate aerosol formation, which may lead to underestimated annual-mean total PM_{2.5} concentrations and thus lower PM_{2.5}-induced premature deaths. Global averaged percentage of surface nitrate aerosol mass relative to the total PM mass based on ensemble mean of observations is about 9.9% (Zhang et al., 2007). By scaling up the gridded surface PM_{2.5} concentrations by 9.9% for all model simulations to account for the contributions of nitrate aerosols, we find that, on average, there are additional PM2.5-induced premature deaths of 5,800 and 4,600 for the gasoline and diesel sectors, respectively. Lelieveld et al. (2015) reported that the global land transportation sector caused ~164,000 deaths due to PM_{2.5} and O₃ for the year 2010. The lower estimate is explained by the substantially lower estimates of mortality associated with O₃ and the higher counterfactual threshold values for PM_{2.5} concentrations (e.g., $7.5 \ \mu g \ m^{-3}$) in Lelieveld et al. (2015), compared with this study and other recent work.

4. Conclusion

In this study, we employed the NCAR CESM CAM5-Chem model to investigate the impacts of gasoline and diesel emissions on air quality, climate, and human health. The IIASA GAINS ECLIPSE V5a emissions inventory is used as the baseline anthropogenic emissions inventory. For the global gasoline on-road sector, NMVOCs and CO emissions account for approximately 20% of the total anthropogenic emissions. The global diesel on-road vehicle fleet contributes substantially to global BC and NO_x emissions, which are about 14% and 20% of the total anthropogenic emissions. As a result, global gasoline and diesel emissions lead to regional increases in annual mean surface $PM_{2.5}$ concentrations by up to 6.0 and 3.0 μ g m⁻³, and surface O_3 concentrations by up to 8.5 and 6 ppbv, respectively. We find substantial scope for mitigation of on-road diesel and gasoline emissions to improve human health and contribute to international climate goals.

Net radiative effects of SLCFs, including aerosols, O_3 , and CH_4 , from the gasoline and diesel sectors are +13.6 and +9.4 mW m⁻², respectively. Specifically, global annual mean net aerosol radiative effects for the gasoline and diesel sectors are -9.6 ± 2.0 and $+8.8 \pm 5.8$ mW m⁻². Global annual mean DRE for the gasoline and diesel sectors have different signs (-0.84 ± 0.15 versus $+35.8 \pm 0.4$ mW m⁻²), whereas the global annual mean AIE are both cooling (-16.6 ± 2.1 and -40.6 ± 4.0 mW m⁻²). However, the underlying aerosol-cloud microphysical drivers are different, with net cooling AIE for gasoline and diesel sectors dominated by the LW AIE (-22 ± 2.0 mW m⁻²) and the SW AIE (-47.0 ± 5.4 mW m⁻²), respectively. Due to the deposition and absorption of solar radiation of BC on snow and sea ice, the net effect of SAE for both sectors are warming, with global annual averages of $+7.8 \pm 3.4$ and $+13.6 \pm 3.6$ mW m⁻². The combined radiative forcing of CH_4 and O_3 for the gasoline sector is warming ($+23.2 \pm 12.0$ mW m⁻²), primarily driven by the impacts of CO and NMVOCs. In contrast, the net forcing of CH_4 and O_3 for the diesel sector is almost negligible because the NO_x -driven O_3 warming is offset by the NO_x -driven CH_4 cooling. In comparison, the CO_2 radiative forcing on the 20-year timescale for year 2015 emissions are +77.9 (gasoline) and +56.3 mW m⁻² (diesel). SLCFs contribute similar magnitudes, 14.9% (gasoline) and 14.4% (diesel), to the net global climate impact for year 2015 emissions on a 20-year timescale.

In terms of health effects associated with the gasoline and diesel sectors, global annual $PM_{2.5}$ - and O_3 -induced premature deaths are 86,400 (95% CI: 41,000–126,000) for gasoline and 89,100 (95% CI: 40,600–128,900) for diesel, with the corresponding YLL of 1.56 (95% CI: 0.80–2.25) and 1.66 (95% CI: 0.85–2.41) million years for the gasoline and diesel sectors, respectively. Here the O_3 -induced COPD impacts follow J2009. When updated to T2016 for the O_3 -induced COPD, global annual total $PM_{2.5}$ - and O_3 -induced premature

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deaths increase by 33% and 37% for the gasoline and diesel sectors, compared with the case using J2009. Consequently, YLL increases by 0.44 and 0.54 million years for gasoline and diesel sectors.

Diesel premature death rates (total premature deaths normalized by annual total distance traveled for each fuel type in each region, in units of deaths per km) show substantial regional variability, with the diesel regional premature death rate in India up to two orders of magnitude higher than that in other regions. This is primarily due to higher premature deaths and relatively lower distance traveled in India for the diesel sector, compared with other regions. For the gasoline sector, regional premature death rates vary by about a factor of eight. India is the region that shows the highest premature death rate for both the gasoline and diesel sectors. Updated global-average premature death rates for the diesel and gasoline sectors using T2016 increase by 36.2% and 33.9%, respectively, compared with the case using J2009 associated with O₃-induced COPD. Our study is the first to report this novel metric to highlight regions with high potential to achieve co-benefits of air quality and public health associated with gasoline and diesel sectors. In addition, our study is also one of the first studies to quantify the integrated climate and health effects from the on-road transportation sectors using a consistent model framework. In terms of climatic impacts, our study is also the first to employ the online aerosol-cloud interactions using CAM5-Chem to estimate the first and second aerosol indirect effects for aerosol emissions from gasoline and diesel sectors.

Conflict of interest

The authors declare no conflicts of interest relevant to this study.

References Acknowledgments

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